

SCHEDULE "I" – NON-REGULATORY BUSINESS POLICY

ELECTRICAL SAFETY AUTHORITY

Authority

The SCSAA authorizes the ESA to carry out other activities in accordance with its objects except it cannot engage in commercial activity through an individual, corporation or other entity that is related to the ESA.

This Schedule authorizes the ESA to undertake non-regulatory business, that is, business in addition to its Statutory Mandate.

Policy

The ESA will only enter into non-regulatory business arrangements that promote and enhance electrical safety and are consistent with its objects, vision and mission. It will operate in compliance with the principles outlined in this policy. The ESA will ensure that all of its employees are aware of and act in accordance with this policy.

Objects

The objects of the Administrative Authority as set out in its Letters Patent dated January 12, 1999 are:

- A) to promote and undertake activities which enhance public electric safety including training, authorization, registration, audit, quality assurance, inspection, investigation, enforcement and other public electric safety services;
- B) to act in any capacity under all legislation and regulations designated and delegated to the Corporation under the Safety and Consumer Statutes Administration Act, 1996, S.O. 1996, C. 19 as amended from time to time and any other legislation or regulations under which responsibilities are delegated to the Corporation in the future;
- C) to inform, educate and work with industry, government and the public;
- D) to promote and undertake activities that enhance the competitiveness of the Ontario and the Canadian economy;
- E) to promote and undertake activities that encourage the harmonization of electric

safety standards and compliance practices;

F) to encourage industry to responsibly enhance electric safety

Policy Principles

- Commitment to Core Responsibilities and Regulatory Integrity: The ESA will at all times conduct itself in a manner that maintains its ability to effectively deliver its Statutory Mandate, with high standards of integrity and in a non-conflicted manner.
- Fair Business Practices: The ESA will not use its authority as a regulator to create an unfair business advantage.
- Fair Competition: The ESA shall ensure that all contracts, agreements or understandings are consistent with competition law.
- Financial Independence: The ESA will only deliver non-regulatory business services that enhance electrical safety and generate revenues generally to the benefit – and never to the detriment – of its regulatory responsibilities. The ESA will ensure independent financial reporting of non-regulatory business services.

Compliance

The ESA will submit to the Minister a statement for each non-regulatory business arrangement confirming that it will not negatively impact its Statutory Mandate and regulatory business and is consistent with this policy. This statement shall be provided to the Minister a minimum of ten (10) business days prior to entering into or bidding on a legally binding contract in a new line of Non-Regulatory Business. The statement shall contain the duration and parties of each contract, and the nature of the work.

The ESA will communicate this policy to its stakeholders and licensees to ensure a broad base of understanding. The ESA will monitor its business development activities to ensure this policy is being consistently applied.

The ESA will implement this policy to ensure appropriate treatment of confidential information, proper disclosure of the ESA's role, and decision-making that is fair and sound.

Upon request of the Minister, the ESA will engage a third-party to conduct a review of compliance with this policy. In addition, the ESA shall make a summary of findings of the review available to the public, including by posting on the ESA's website.

Electrical Safety Authority

**Her Majesty the Queen in right of
Ontario**



Chair of the Board

Minister of Government and Consumer
Services

Date: *March 9, 2022*

Date: March 29, 2022